

## Measures and Implementation Status for Preventing Insider Trading

In order to prevent directors or employees, among other insiders, from engaging in securities trading using undisclosed information in the market, an E-MAIL reminder is sent to directors and insiders before each board meeting. Trading of stocks is prohibited 30 days prior to the announcement of financial reports and 15 days before the disclosure of quarterly reports to safeguard shareholder interests. This ensures that insiders refrain from engaging in personal investment activities based on undisclosed information, and it aligns with the principles of the OECD (Organization for Economic Co-operation and Development) II(G) and the relevant provisions of Article 10, paragraphs 3 and 4, of the Corporate Governance Best Practice Principles for Listed and OTC (Over-the-Counter) Companies.

In addition, to enhance the awareness and legal compliance consciousness of internal personnel regarding insider trading, the company conducted internal and external education and training for all employees in the fiscal year 112. Following the 'Guidelines for Public Companies to Establish Internal Control Systems,' and with the approval of the Board of Directors, the company has formulated the 'Prevention of Insider Trading Operating Procedures.' The course content includes measures for preventing insider trading, confidentiality procedures for significant information, and regulations and practices for short-term trading. All personnel are provided with educational training materials for reference. A total of 304 participants attended the courses during the fiscal year 2023, accumulating a total of 325 person-hours.

After the appointment of the new director in our company, the individual promptly submitted the 'Regulatory Handbook for Directors of Listed (OTC) and Emerging Companies,' 'Regulatory Handbook for Independent Directors,' 'Q&A Handbook

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for Insider Trading of Company Insiders in Listed Companies,' 'Laws and Precautions Related to Changes in Company Insider Stock Ownership,' 'Market Regulations for Listed Companies, Directors, Supervisors, and Major Shareholders to be Aware of,' and 'Related Pre- and Post-Transfer Declaration Forms and Explanations for Uncompleted Transfers,' etc. These documents are provided for the reference of directors in accordance with legal requirements.